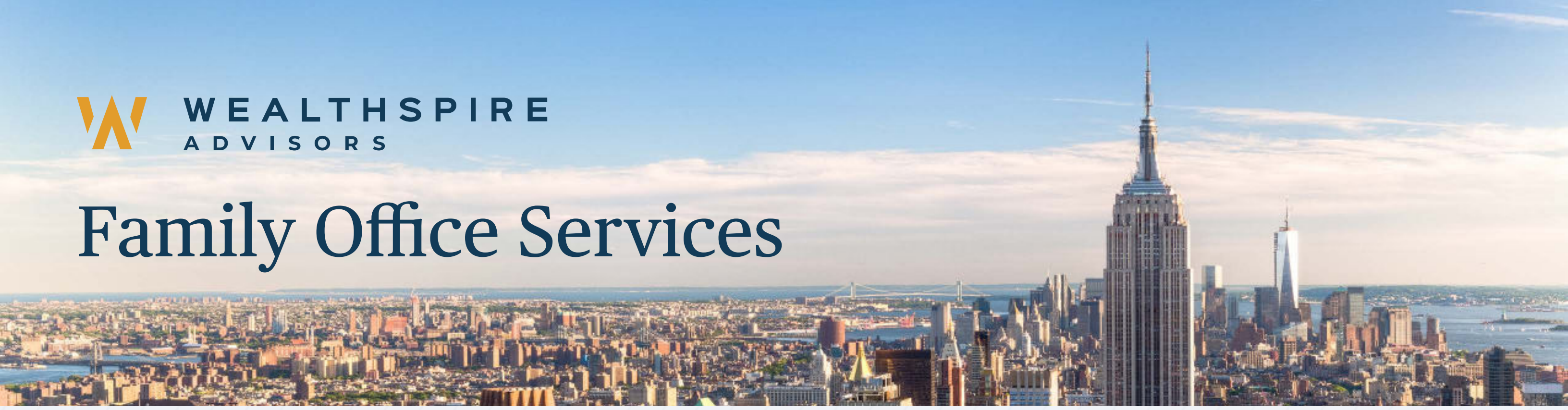

WEALTHSPIRE ADVISORS

Family Office Services

WE SIMPLIFY THE COMPLEXITIES OF GENERATIONAL WEALTH

Our offering is designed to meet the needs of families who benefit from services traditionally associated with a family office.

A Relationship that Evolves with Your Family

- Our Family Office Services (“FOS”) are integrated into the core relationship at Wealthspire with a lead advisor as well as a client’s legal, tax, and other outside advisors, tailored and enhanced over time as needed for each family.
- We apply a disciplined, proactive approach to our FOS relationships, presenting ideas to each family as their needs evolve, laws change, and capabilities are enhanced.

Expertise Where It Matters

- All Wealth Strategists were once Trusts & Estates and/or Tax Attorneys with extensive experience in advising ultra-high net-worth families with estate and tax planning in multiple jurisdictions.
- Our Chief Investment Officer has over 25 years of experience researching investments and developing investment strategies supported by a team of investment analysts and portfolio managers with professional designations that include CFA, CFP, CAIA, and CIMA.
- Our Family Legacy Planning services are customized and designed by a former Trusts & Estates and Tax Attorney with specialized education and experience in advising families on how to align their financial and estate plans with their family values to successfully navigate transitions across generations.

Wealth Strategy

- Proactively analyze and present solutions for wealth transfer planning to minimize estate, gift, and GST tax and to help maximize family wealth for generations.
- Review and develop advanced income tax strategies, business structures, and commercial and financial transactions to seek to optimize asset protection and tax benefits, and to synchronize clients’ income tax strategies with their estate plans.
- Assist clients with all complex financial, business, and wealth transfer matters to develop strategies tailored to the goals of each family.
- Counsel families to develop and implement business succession strategies and plans.

Investment Platform

- Offer a bespoke investment platform across traditional and alternative investments.
- Provide expertise in and, where appropriate, access to private equity and private credit strategies.
- Deliver conflict free access - in no case do we have proprietary products or receive commissions from asset managers.
- Host “Investment 101” series to educate younger generations about investments and personal finance.

Family Legacy Planning

- Coordinate multi-generational family meetings to discuss the family’s current plans and moderate discussions about family values, future goals, and philanthropy.
- Assist all generations with financial literacy education, charitable planning, and transferring wealth or a business.
- Guide families through formalizing their wishes via governance and family legacy documents.

Building an Ongoing Relationship with You and Your Family

1 GETTING TO KNOW YOU

- Develop deep understanding of family members, their goals, and motivations
- Discuss charitable and philanthropic goals
- Review family entity structures
- Review prior gift tax returns
- Assess family budget/spending goals and liquidity needs
- Review current estate planning documents and discuss potential changes
- Coordinate with Investment Team to ensure investment strategies align with trust structures and guidelines
- Review asset structure and values
- Prepare Family Tree and Estate Planning Flowchart

2 SPECIAL PROJECTS

- Develop custom strategies for focused needs of first-generation wealth builders, corporate executives, attorneys, physicians, business owners, and start-up entrepreneurs
- Engage in executive stock option planning
- Develop pre- or post-liquidity planning for business founders and start-up entrepreneurs, and business succession planning
- Family Office Structuring and Maintenance
- Asset protection planning
- Cross-border planning
- Planning for families with unique special needs circumstances
- Family Legacy Planning

3 ONGOING/ ANNUAL CHECK-IN

- Hold periodic meetings to discuss additional planning opportunities
- Coordinate with outside advisors to ensure proper filing of gift and estate tax returns
- Provide annual reporting summarizing estate plan, as well as advanced planning strategies implemented, organized descriptions of each trust, overview of tax and planning strategies implemented, and strategies that are recommended

4 EDUCATIONAL RESOURCES

- Library of educational whitepapers and instructional videos
- Published case studies showcasing valuable planning strategies
- Involvement with next generation of family members
- Webinars and in-person events
- Family meetings coordination, presentation, and facilitation