



WEALTHSPIRE
ADVISORS

Strategic Wealth Management Group, LLC
dba
Wealthspire Advisors

Part 2B Brochure Supplement

Corporate Office:

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Fulton, MD 20759

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This Brochure Supplement provides information about Registered Investment Advisor Representatives of Strategic Wealth Management, LLC dba Wealthspire Advisors (“Wealthspire” or “Wealthspire Advisors”). It supplements the accompanying Form ADV brochure. Please contact Wealthspire Advisors’ Chief Compliance Officer at 414.509.1330 if you have any questions about the Form ADV brochure or this supplement, or if you would like to request additional or updated copies of either document.

Additional Information about each representative is available on the SEC’s website at www.adviserinfo.sec.gov.

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Michael LaMena, AIF^{®6} Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. LaMena was born in 1973. He received his Bachelor of Arts degree in English from the University of Notre Dame in 1995.

Mr. LaMena has over 20 years' experience building, operating, and leading global wealth management organizations. He began his career in 1995 with a year of service as a volunteer high school teacher at Mount St. Michael Academy in the Bronx, NY. Mr. LaMena then started his 14-year tenure at Morgan Stanley & Co., where he served as Executive Director of Private Wealth Management Operations in New York, as well as Executive Director of Private Wealth Management for Asia in Hong Kong. Next, he spent 7 years at HighTower, serving as Chief Operating Officer and later as President, playing an instrumental leadership role in scaling that business from a start-up organization to a nationally recognized financial services firm with more than \$50 billion in assets and more than 600 employees. Mr. LaMena joined Wealthspire Advisors' predecessor company, Bronfman Rothschild, as President and Chief Operating Officer in September 2017 and assumed the role of Chief Executive Officer in May 2019.

He holds the Accredited Investment Fiduciary[®] designation.

Disciplinary Information

Mr. LaMena does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. LaMena is the Chief Executive Officer of Wealthspire Advisors. He is also the Chief Executive Officer of Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. LaMena does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. LaMena's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Eric Sontag, CFA^{®3} Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. Sontag was born in 1983. He received his Bachelor of Arts degree in Economics and Sociology, with distinction, from Cornell University in 2005.

Mr. Sontag worked at BlackRock from 2005 until 2009 in two different roles focused on investment risk analysis and reporting, first as a Financial Analyst with the Portfolio Analytics Group in New York and later as an Associate with the Transition Management Team in London. Mr. Sontag joined one of Wealthspire Advisors' predecessor firms, Sontag Advisory LLC, in November 2009. He served as its Chief Operating Officer beginning in 2011 and assumed the additional role of President in 2018.

Mr. Sontag holds the Chartered Financial Analyst[®] designation.

Disciplinary Information

Mr. Sontag does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Sontag is the President and Chief Operating Officer of Wealthspire Advisors. He is also the President and Chief Operating Officer of Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Sontag does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Sontag's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Hoyt Stastney, J.D. Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. Stastney was born in 1972. He received his Bachelor of Arts degree in Political Science, History and Latin from the University of North Dakota in 1993. He received his Juris Doctor from the University of Minnesota Law School in 1997.

Mr. Stastney was an equity partner and attorney in the Milwaukee office of the national law firm Quarles & Brady LLP, a firm he joined in 1997. He joined Wealthspire Advisors' predecessor company, Bronfman Rothschild, in April 2016 as General Counsel, and he assumed the additional responsibility of Chief Compliance Officer from January 2017 to January 2020. He now serves as the General Counsel and Head of Mergers & Acquisitions for Wealthspire Advisors.

Disciplinary Information

Mr. Stastney does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Stastney is the General Counsel, Head of M&A, and Managing Director of Wealthspire Advisors, as well as for Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp. He is also a member of the Board of Directors of RueOne Investments LLC, an unaffiliated private equity firm located in New York City.

Additional Compensation

Mr. Stastney does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Stastney's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Michael Del Priore Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. Del Priore was born in 1981. He graduated from Loyola University Chicago in 2003 with a Bachelor of Business Administration degree in Information Systems.

Mr. Del Priore has more than 20 years' experience in the financial services industry. He began his career as a Clerk and then a Specialist at Rock Island Equity Market Services at the Chicago Stock Exchange. He then moved to the National Stock Exchange as a Market Analyst (2006-2007), an Examiner (2007-2010), and a Senior Examiner (2010-2013). Prior to joining Wealthspire Advisors' predecessor company, Bronfman Rothschild, Mr. Del Priore spent 2 years at Raymond James & Associates as a Compliance Analyst & Auditor and then 4 years at LPL as a Compliance, Legal & Risk Examiner. He joined Bronfman Rothschild in June 2018 in the Legal and Compliance Department, where he has held several roles with increasing responsibility – first as Associate Compliance Officer, then Director, Legal & Compliance, next as Vice President, Legal & Compliance, and finally as Chief Compliance Officer.

Mr. Del Priore has successfully passed the Series 66 Uniform Combined State Law Examination.

Disciplinary Information

Mr. Del Priore does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Del Priore is the Chief Compliance Officer and a Senior Vice President at Wealthspire Advisors. He is also the Chief Compliance Officer and a Senior Vice President at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Del Priore does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors. Accordingly, Mr. Del Priore has or shares direct or indirect supervisory authority over all of the firm's advisory personnel, including himself. Mr. Del Priore's activities are monitored by Hoyt Stastney, General Counsel. Mr. Stastney can be reached at 608.416.4410.

Amanda Campbell, CFP®¹, AIF®⁶, CDFP®², AAMS®⁸ Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Ms. Campbell was born in 1988. She graduated from McDaniel College in 2010 with a Bachelor of Business Administration, a Bachelor of Economics, and a Bachelor of Accounting Economics with a Minor in Accounting.

Ms. Campbell joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in 2010 as an Account Representative. During her tenure with the firm, she has held the roles of Associate Financial Planner, Director of Portfolio Management, Senior Advisor/Partner, and Director of Financial Planning.

Ms. Campbell is a CERTIFIED FINANCIAL PLANNER™ practitioner, an Accredited Investment Fiduciary® designee, a CERTIFIED DIVORCE FINANCIAL ANALYST® professional, and an ACCREDITED ASSET MANAGEMENT SPECIALISTSM professional.

Disciplinary Information

Ms. Campbell does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Ms. Campbell is a Vice President, Advisor at Wealthspire Advisors. She is also a Vice President, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Ms. Campbell does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Ms. Campbell's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Gary P. Desjardins, CFP^{®1}, AIF^{®6}, CRPS^{®5}, CEP^{®10} Biographical Information

Branch Location: 1405 Wesley Drive, Suite 32, Salisbury, MD 21801

Educational Background and Business Experience

Mr. Desjardins was born in 1965. He graduated from Salisbury State University in 1988 with a Bachelor of Science degree in Business Administration.

Mr. Desjardins was a Founder and Partner of Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, and has been a Registered Investment Advisor Representative of the firm since July 2002.

Mr. Desjardins is a CERTIFIED FINANCIAL PLANNER[™] practitioner, an Accredited Investment Fiduciary[®] designee, a CHARTERED RETIREMENT PLANS SPECIALISTSM designee, and a Certified Estate Planner[™] professional.

Disciplinary Information

Mr. Desjardins does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Desjardins is a Managing Director, Advisor at Wealthspire Advisors. He is also a Managing Director, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Desjardins does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Desjardins' investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

James K. Eichelberger, CFP®¹, AIF®⁶ Biographical Information

Branch Location: 900 Bestgate Road, Suite 200, Annapolis, MD 21401

Educational Background and Business Experience

Mr. Eichelberger was born in 1959. He graduated from Elizabethtown College in 1982, with a Bachelor of Science degree in Business Administration.

Mr. Eichelberger was a Founding Partner and Chairman of Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, and has been a Registered Investment Advisor Representative of the firm since 2002.

Mr. Eichelberger is a CERTIFIED FINANCIAL PLANNER™ practitioner and an Accredited Investment Fiduciary® designee. He has also passed the Series 65 Uniform Investment Adviser Law Examination.

Disciplinary Information

Mr. Eichelberger does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Eichelberger is a Managing Director, Advisor at Wealthspire Advisors. He is also a Managing Director, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Eichelberger does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Eichelberger's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Joseph Garrison, CFP^{®1}, AIF^{®6} Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. Garrison was born in 1977. He graduated from the Western Maryland College in 1999 with a Bachelor of Arts degree in Business Administration and Accounting.

Mr. Garrison joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in October 1999 and worked as a Financial Planner and Director of Investment Research, then as a Senior Advisor beginning January 2014.

Mr. Garrison is a CERTIFIED FINANCIAL PLANNER[™] practitioner and an Accredited Investment Fiduciary[®] designee.

Disciplinary Information

Mr. Garrison does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Garrison is a Senior Vice President, Advisor at Wealthspire Advisors. He is also a Senior Vice President, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Garrison does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Garrison's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

James M. Griesser, CFP^{®1}, AIF^{®6} Biographical Information

Branch Location: 900 Bestgate Road, Suite 200, Annapolis, MD 21401

Educational Background and Business Experience

Mr. Griesser was born in 1963. He graduated from the University of Maryland with a Bachelor of Science degree in Business Management.

Mr. Griesser was a Founding Partner of Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, and has been a Registered Investment Advisor Representative of the firm since 2002.

Mr. Griesser is a CERTIFIED FINANCIAL PLANNER[™] practitioner and an Accredited Investment Fiduciary[®] designee. He has also passed the Series 65 Uniform Investment Adviser Law Examination.

Disciplinary Information

Mr. Griesser does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Griesser is a Managing Director, Advisor at Wealthspire Advisors. He is also a Managing Director, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Griesser does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Griesser's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Jennine Ramsey LaCroix, CFP^{®1}, AIF^{®6}, AAMS^{®8}, CMFC^{®11}

Biographical Information

Branch Location: 900 Bestgate Road, Suite 200, Annapolis, MD 21401

Educational Background and Business Experience

Ms. LaCroix was born in 1970. She graduated from Marymount University with a Bachelor of Business Administration degree in Finance and went on to earn a Master of Business Administration degree in Finance.

Ms. LaCroix was a Founding Partner of Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, and has been a Registered Investment Advisor Representative of the firm since 2002.

Ms. LaCroix is a CERTIFIED FINANCIAL PLANNER[™] practitioner, an Accredited Investment Fiduciary[®] designee, an ACCREDITED ASSET MANAGEMENT SPECIALISTSM professional, and a CHARTERED MUTUAL FUND COUNSELOR professional. She has also passed the Series 65 Uniform Investment Adviser Law Examination.

Disciplinary Information

Ms. LaCroix does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Ms. LaCroix is a Managing Director, Advisor at Wealthspire Advisors. She is also a Managing Director, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Ms. LaCroix does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Ms. LaCroix's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Kimberly S. Magaha, CFP®¹, AIF®⁶ Biographical Information

Branch Location: 1405 Wesley Drive, Suite 32, Salisbury, MD 21801

Educational Background and Business Experience

Ms. Magaha was born in 1981. She graduated from Salisbury University in 2003, with a Bachelor of Science degree in Finance and a Bachelor of Science degree in Business Management.

Ms. Magaha joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in 2004 as a Partner and Advisor.

Ms. Magaha is a CERTIFIED FINANCIAL PLANNER™ practitioner and an Accredited Investment Fiduciary® designee.

Disciplinary Information

Ms. Magaha does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Ms. Magaha is a Senior Vice President, Advisor at Wealthspire Advisors. She is also a Senior Vice President, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Ms. Magaha does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Ms. Magaha's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Jennifer Satchell, ChFC^{®4}, FPQP^{™9} Biographical Information

Branch Location: 1405 Wesley Drive, Suite 32, Salisbury, MD 21801

Educational Background and Business Experience

Ms. Satchell was born in 1992. She graduated from the Perdue School of Business at Salisbury University in December 2013 with a Bachelor of Science degree in Finance, with a Financial Planning track.

Ms. Satchell joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in 2014. During her tenure with the firm, she has held the roles of Account Representative, Nexit Strategist, and Director of Financial Planning.

Ms. Satchell is a FINANCIAL PARAPLANNER MANAGEMENT SPECIALIST[™] professional and a Chartered Financial Consultant[®] designee.

Disciplinary Information

Ms. Satchell does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Ms. Satchell is an Assistant Vice President, Senior Advisor Associate at Wealthspire Advisors. She is also an Assistant Vice President, Senior Advisor Associate at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Ms. Satchell does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Ms. Satchell's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Luke S. Smith, CFP®¹ Biographical Information

Branch Location: 1405 Wesley Drive, Suite 32, Salisbury, MD 21801

Educational Background and Business Experience

Mr. Smith was born in 1996. He graduated from Salisbury University in 2018, with a Bachelor of Science degree in Finance with a concentration in Investments.

Mr. Smith was a Financial Advisor at Wells Fargo Advisors for one year before he joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in January 2019 as a Senior Advisor Associate.

Mr. Smith is a CERTIFIED FINANCIAL PLANNER™ practitioner.

Disciplinary Information

Mr. Smith does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Smith is a Senior Advisor Associate at Wealthspire Advisors. He is also a Senior Advisor Associate at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Smith does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Smith's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Erik Szabocsik, CFP®¹ Biographical Information

Branch Location: 900 Bestgate Road, Suite 200, Annapolis, MD 21401

Educational Background and Business Experience

Mr. Szabocsik was born in 1986. He graduated from the University of Central Florida in 2008, with a Bachelor of Science in Finance.

Mr. Szabocsik joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in December 2019. From January 2009 through October 2010, Mr. Szabocsik was a registered representative of AXA Advisors LLC, and from November 2010 through November 2019, Mr. Szabocsik was an Investor Center Financial Representative, and later a Financial Consultant, with Fidelity Investments and Fidelity Personal and WorkPlace Advisors.

Mr. Szabocsik is a CERTIFIED FINANCIAL PLANNER™ practitioner. He has also successfully passed the Series 66 Uniform Combined State Law Examination.

Disciplinary Information

Mr. Szabocsik does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Szabocsik is an Assistant Vice President, Advisor at Wealthspire Advisors. He is also an Assistant Vice President, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Szabocsik does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Szabocsik's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Tatiana Vinogradov, CFP®¹, AIF®⁶, CDFA®² Biographical Information

Branch Location: 900 Bestgate Road, Suite 200, Annapolis, MD 21401

Educational Background and Business Experience

Ms. Vinogradov was born in 1988. She graduated from Northeastern University in 2015 with a Bachelor of Science degree in Finance and Accounting Management and a Minor in Information Technology.

Ms. Vinogradov joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in June 2018 as a Nexit Strategist and Financial Resident. From November 2015 to June 2018, she was a Financial Consultant for AXA Advisors LLC.

Ms. Vinogradov is a CERTIFIED FINANCIAL PLANNER™ practitioner, an Accredited Investment Fiduciary® designee, and a CERTIFIED DIVORCE FINANCIAL ANALYST® professional. She has also passed the Series 65 Uniform Investment Adviser Law Examination.

Disciplinary Information

Ms. Vinogradov does not have any disciplinary information to disclose. She has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Ms. Vinogradov is a Senior Advisor Associate at Wealthspire Advisors. She is also a Senior Advisor Associate at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Ms. Vinogradov does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Ms. Vinogradov's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Edward von Lange, CFP®¹, AIF®⁶, CPFA⁷ Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. von Lange was born in 1957. He graduated from Pennsylvania State University with a Bachelor of Arts degree in Economics.

Mr. von Lange joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in April 2018 as a Senior Advisor and Head of Business Development. From January 2012 to March 2018, he was a Senior Advisor and Foundation Financial Advisor at Commonwealth Financial Network.

Mr. von Lange is a CERTIFIED FINANCIAL PLANNER™ practitioner, an Accredited Investment Fiduciary® designee, and a Certified Plan Fiduciary Advisor. He has also passed the Series 65 Uniform Investment Adviser Law Examination.

Disciplinary Information

Mr. von Lange does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. von Lange is a Vice President, Advisor at Wealthspire Advisors. He is also a Vice President, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. von Lange does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. von Lange's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Charles Walker, CFP^{®1}, AIF^{®6} Biographical Information

Branch Location: 8170 Maple Lawn Blvd., Suite 100, Fulton, MD 20759

Educational Background and Business Experience

Mr. Walker was born in 1964. He graduated from the Roanoke College in 1987, with a Bachelor of Arts Business Administration.

Mr. Walker joined Wealthspire Advisors' predecessor company, Strategic Wealth Management, LLC dba StratWealth, in February 2019 as a Senior Advisor. From September 2016 through February 2019, he was an Advisor with SunTrust Advisory Services. From April 2014 through September 2016, Mr. Walker was a Client Advisor with SunTrust Bank and SunTrust Investment Services.

Mr. Walker is a CERTIFIED FINANCIAL PLANNER[™] practitioner and an Accredited Investment Fiduciary[®] designee.

Disciplinary Information

Mr. Walker does not have any disciplinary information to disclose. He has not: (a) been party to a criminal or civil action in a domestic, foreign, or military court, (b) been party to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority; or (c) been party to a self-regulatory proceeding.

Other Business Activities

Mr. Walker is a Vice President, Advisor at Wealthspire Advisors. He is also a Vice President, Advisor at Wealthspire Advisors LLC, a separate registered investment adviser and subsidiary company of NFP Corp.

Additional Compensation

Mr. Walker does not receive any additional economic benefit from third parties for providing advisory services.

Supervision

Michael Del Priore is the Chief Compliance Officer for Wealthspire Advisors and is responsible for supervision of Mr. Walker's investment advisory activities to ensure compliance with regulatory and internal procedures. His contact information is available on the cover page of this brochure.

Professional Designations

¹ CERTIFIED FINANCIAL PLANNER™ certification or the CFP® mark:

Certified Financial Planner Board of Standards, Inc. (CFP Board) owns the certification marks CFP®, CERTIFIED FINANCIAL PLANNER™, and CFP® (with plaque design) in the United States, which it authorizes use of by individuals who successfully complete CFP Board's initial and ongoing certification requirements.

The CFP® certification is granted by the CFP Board. The certification is voluntary; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interest of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

2 CERTIFIED DIVORCE FINANCIAL ANALYST® certification or the CDFA® mark:

CERTIFIED DIVORCE FINANCIAL ANALYST® or CDFA® professionals must develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the Institute for Divorce Financial Analysts (IDFA). CDFA® professionals must have two years minimum experience in a financial or legal capacity prior to earning the right to use the CDFA® certification mark.

The CDFA® certification is a professional certification granted by the IDFA. To attain the right to use the CDFA® mark, an individual must satisfactorily fulfill the following requirements:

- Education: Candidates must develop their theoretical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the IDFA.
- Examination: Candidates must pass a four-part Certification Examination that tests their understanding and knowledge of the financial aspects of divorce. In addition, candidates must demonstrate the practical application of this knowledge in the divorce process.
- Experience: Candidates must have a minimum of three years' experience in a financial or legal capacity prior to earning the right to use the CDFA® certification mark.
- Ethics: Candidates agree to abide by a strict code of professional conduct known as the "Code of Ethics and Professional Responsibility," which sets forth their ethical responsibilities to the public, clients, employers and other professionals. The IDFA may perform a background check during this process, and each candidate for CDFA® certification must disclose any investigations or legal proceedings relating to his or her professional or business conduct. Individuals who become certified must complete the following ongoing education requirements in order to maintain the right to continue to use the CDFA® mark:
 - Continuing Education: Minimum of 15 hours of continuing education every two years, that are specifically related to the field of divorce.
 - Ethics: Must voluntarily disclose any public, civil, criminal, or disciplinary actions that may have been taken against them during the past two years as part of the renewal process. If a complaint has been brought against a CDFA® certificant by another professional or member of the general public, the CDFA® certificant must be examined and cleared by IDFA's Ethics Committee to maintain their designation.

3 Chartered Financial Analyst® designation or the CFA® mark:

CFA® and Chartered Financial Analyst® are registered trademarks owned by CFA Institute.

The Chartered Financial Analyst® or CFA® charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute, the largest global association of investment professionals.

To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as member; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders, often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 19 countries recognize the CFA charter as proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investment, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

4 Chartered Financial Consultant® designation or the ChFC® mark:

The ChFC® mark is the property of The American College, which reserves sole rights to its use, and is used by permission.

The Chartered Financial Consultant® or ChFC® designation identifies financial professionals who have completed extensive education and application training in all aspects of financial planning, income taxation, investments and estate and retirement planning. Individuals who hold the ChFC® designation have completed nine college-level courses, and successfully passed closed-book, proctored exams, on all aspects of financial planning from The American College, a non-profit educator with the highest level of academic accreditation, and have at least three years of full-time, relevant business experience at the time of designation. ChFC® professionals must adhere to The American College's Code of Ethics and complete 30 hours of continuing education every two years.

5 CHARTERED RETIREMENT PLANS SPECIALISTSM designation or the CRPS® mark:

CHARTERED RETIREMENT PLANS SPECIALISTSM and CRPS® are trademarks or registered service marks of the College for Financial Planning in the United States and/or other countries.

The CHARTERED RETIREMENT PLANS SPECIALISTSM or CRPS® designation is for financial professionals who design, install, and maintain retirement plans for the business community. Individuals who hold the CRPS® designation have completed ten modules of study, and successfully passed a closed-book, proctored exam, from the College for Financial Planning. CRPS® professionals must adhere to the College of Financial Planning's Standards of Professional Conduct and complete 16 hours of continuing education every two years.

6 Accredited Investment Fiduciary® designation or the AIF® mark:

The Center for Fiduciary Studies owns the mark AIF®, which it awards to individuals who successfully complete initial and ongoing accreditation requirements.

The Accredited Investment Fiduciary® or AIF® designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF® designation, financial professionals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor, and agree to abide by the AIF® Code of Ethics. In order to maintain the AIF® designation, the individual must annually renew their affirmation of the AIF® Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC.

7 Certified Plan Fiduciary Advisor (CPFA):

The Certified Plan Fiduciary Advisor (CPFA) designation is for financial professionals who have demonstrated the expertise required to act as a plan fiduciary or help plan fiduciaries managed their roles and responsibilities. Individuals who hold the CPFA designation have completed the CPFA course of study, and successfully passed a closed-book, proctored exam, from the National Association of Plan Advisors. CPFA professionals must complete 20 hours of continuing education every two years.

8 ACCREDITED ASSET MANAGEMENT SPECIALISTSM designation or the AAMS® mark:

ACCREDITED ASSET MANAGEMENT SPECIALISTSM and AAMS® are trademarks or registered service marks of the College for Financial Planning in the United States and/or other countries.

The AAMS® designation is awarded by the College for Financial Planning to investment professionals who complete its 12-module AAMS® Professional Education Program, pass an examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the AAMS® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the AAMS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

⁹ FINANCIAL PARAPLANNER QUALIFIED PROFESSIONAL™ designation or the FPQP™ mark:

FINANCIAL PARAPLANNER MANAGEMENT SPECIALIST™ and FPQP™ are trademarks of the College for Financial Planning in the United States and/or other countries.

Individuals who hold the FPQP™ designation have completed a course of study encompassing the financial planning process; the five disciplines of financial planning; and general financial planning concepts, terminology, and product categories. Additionally, individuals enrolled in the program, after passing the final exam, must complete a three-month long internship program in which they obtain verification from their immediate supervisor or employer documenting their mastery of the following financial planning-related skills:

- Adequate verbal and communication skills;
- Ability to use at least one type of financial planning software;
- Ability to read and extract information for data gathering purposes from a client's financial documents, including insurance policies (life, medical, disability, property/liability), investment records, tax returns, wills/trusts, retirement, and property holding documents;
- Ability to construct personal financial statements, including balance sheets (statement of financial position) and income statements; and
- Ability to review and analyze information to identify basic strengths and weaknesses in a client's financial situation relating to risk management issues, investment issues, income tax issues, retirement issues, and general issues (e.g., emergency funds, level of debt, budgeting, saving patterns, net worth fluctuations).

¹⁰ Certified Estate Planner™ designation or the CEP® mark:

The CEP® (Certified Estate Planner™) is a trademark registered with the United States Patent and Trademark Office, and is solely owned by the NICEP, Inc. ("NICEP"). This certification mark is awarded to individuals who successfully complete the NICEP certification requirements and who also comply with annual maintenance requirements.

Individuals who hold the CEP® (Certified Estate Planner™) designation have completed eight (8) modules of rigorous coursework through a combination of online and self-study, typically over a 5-6 month period, and successfully passed a closed-book, proctored examination from the NICEP. To maintain the certification, CEP® professionals must satisfy an annual certification fee and complete eight (8) hours of continuing education in the area of estate planning every two years. In addition, CEP® professionals must adhere to the NICEP

professional code of ethics which requires model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

†1 CHARTERED MUTUAL FUND COUNSELORSM designation or the CMFC[®] mark:

CHARTERED MUTUAL FUND COUNSELORSM and CMFC[®] are trademarks or registered service marks of the College for Financial Planning in the United States and/or other countries.

The CMFC[®] designation is awarded by the College for Financial Planning to investment professionals who complete its 9-module CMFC[®] self-study course, pass a final designation examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CMFC[®] designation is subject to ongoing renewal requirements. Every two (2) years, the designee must renew their right to continue using the CMFC[®] designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.