

Item 1 Cover Page

A.

Michael Del Priore

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Michael Del Priore that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth's Brochure or if you have any questions about the contents of this supplement.

Additional information about Michael Del Priore is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Michael Del Priore was born in 1981. Mr. Del Priore graduated from the Loyola University Chicago in 2003, with a Bachelor of Business Administration degree. Mr. Del Priore has been a Registered Investment Advisor Representative of StratWealth since November 16, 2020. Mr. Del Priore has more than 20 years of experience in the financial services industry. Prior to joining StratWealth's parent, Wealthspire Advisors, Mr. Del Priore spent 4 years at LPL examining independent financial advisors and 2 years at Raymond James & Associates in a similar capacity. Mr. Del Priore began his career in financial services as a Clerk and then a Specialist at Rock Island Equity Market Services at the Chicago Stock Exchange and moved to the National Stock Exchange as a regulator in 2006.

Mr. Del Priore has successfully passed the Series 7 General Securities Representative Exam, Series 24 General Securities Principal Exam, Series 51 Municipal Securities Limited Principal Exam,

Series 63 Uniform Securities Agent State Law Exam and Series 66 Uniform Combined State Law Exam.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. Mr. Del Priore is the Chief Compliance Officer and a Senior Vice President at Sontag Advisory LLC d/b/a Wealthspire Advisors (“Wealthspire”). StratWealth is a subsidiary of Wealthspire.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Del Priore does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “Act”). Michael Del Priore is the Chief Compliance Officer for StratWealth. Accordingly, Mr. Del Priore is primarily responsible for the implementation of the Registrant’s policies and procedures and overseeing the activities of the Registrant’s supervised persons under the Act, including himself. Mr. Del Priore’s activities are monitored by Hoyt Stastney, General Counsel. Mr. Stastney can be reached at 608.416.4410.

Item 1 Cover Page

A.

James M. Griesser

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about James M. Griesser that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about James M. Griesser is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

James M. Griesser was born in 1963. Mr. Griesser graduated from the University of Maryland in 2003, with a Bachelor of Science degree. Mr. Griesser has been a Registered Investment Advisor Representative of StratWealth since April 2001.

Mr. Griesser has been a CERTIFIED FINANCIAL PLANNER™ professional since 1992. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s Code of Ethics and Standards of Conduct and to acknowledge CFP Board’s right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.
- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. Griesser has held the designation of Accredited Investment Fiduciary® (AIF®) since August 1, 2005. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- C. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- D. Licensed Insurance Agent. Mr. Griesser, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. Griesser does not receive a commission for the

purchase of any insurance products. Conflict of Interest: The recommendation by Mr. Griesser that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. Griesser through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. Griesser does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Michael Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

James K. Eichelberger

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about James K. Eichelberger that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about James K. Eichelberger is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

James K. Eichelberger was born in 1959. Mr. Eichelberger graduated from Elizabethtown College in 1982, with a Bachelor of Science degree. Mr. Eichelberger has been a Registered Investment Advisor Representative of StratWealth since July 2002.

Mr. Eichelberger has been a CERTIFIED FINANCIAL PLANNER™ professional since 1991. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number

of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s *Code of Ethics and Standards of Conduct* and to acknowledge

CFP Board's right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual's certification status, CFP Board's disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA'S BrokerCheck and the SEC's Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. Eichelberger has held the designation of Accredited Investment Fiduciary® (AIF®) since August 1, 2005. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Mr. Eichelberger, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. Eichelberger does not receive a commission

for the purchase of any insurance products. Conflict of Interest: The recommendation by Mr. Eichelberger that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. Eichelberger through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. Eichelberger does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Jennine Ramsey LaCroix

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Jennine Ramsey LaCroix that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Jennine Ramsey LaCroix is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Jennine Ramsey LaCroix was born in 1970. Ms. LaCroix graduated from Marymount University in 1992, with a Bachelor of Business Administration degree and in 1995 with a Masters of Business Administration degree. Ms. LaCroix has been a Registered Investment Advisor Representative of StratWealth since July 2002.

Ms. LaCroix has been a CERTIFIED FINANCIAL PLANNER™ professional since 2000. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s Code of Ethics and Standards of Conduct and to acknowledge CFP Board’s right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.
- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Ms. LaCroix has held the designation of Accredited Investment Fiduciary® (AIF®) since November 1, 2006. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Ms. LaCroix, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related

products from an affiliate. Ms. LaCroix does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Ms. LaCroix that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Ms. LaCroix through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Ms. LaCroix does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Gary P. Desjardins

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Gary P. Desjardins that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Gary P. Desjardins is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Gary P. Desjardins was born in 1965. Mr. Desjardins graduated from Salisbury State University in 1988, with a Bachelor of Science degree in Business Administration. Mr. Desjardins has been a Registered Investment Advisor Representative of StratWealth since July 2002.

Mr. Desjardins has been a CERTIFIED FINANCIAL PLANNER™ professional since 1998. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with

clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirement; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s Code of Ethics and Standards of Conduct and to acknowledge CFP Board’s right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. Desjardins has held the designation of Accredited Investment Fiduciary® (AIF®) since August 1, 2005. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Mr. Desjardins has held the designation of Chartered Retirement Plans SpecialistSM (CRPS) since 2002. The College of Financial Planning® awards the CRPS designation to applicants who complete the CRPS professional education program, pass a final examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CRPS designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the CRPS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

- B. Licensed Insurance Agent. Mr. Desjardins, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. Desjardins does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Mr. Desjardins that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. Desjardins through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. Desjardins does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Joseph Garrison

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Joseph Garrison that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph Garrison is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Joseph Garrison was born in 1977. Mr. Garrison graduated from the Western Maryland College in 1999, with a Bachelor of Arts degree in Business Administration. Mr. Garrison has been a Registered Investment Advisor Representative of StratWealth since October 2004.

Mr. Garrison has been a CERTIFIED FINANCIAL PLANNER™ professional since 2003. The Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number

of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s *Code of Ethics and Standards of Conduct* and to acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*. The

Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual's certification status, CFP Board's disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA'S BrokerCheck and the SEC's Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. Garrison has held the designation of Accredited Investment Fiduciary® (AIF®) since August 1, 2014. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Mr. Garrison, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. Garrison does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Mr. Garrison that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any

obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. Garrison through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. Garrison does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Kimberly S. Magaha

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Kimberly S. Magaha that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Kimberly S. Magaha is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Kimberly S. Magaha was born in 1981. Ms. Magaha graduated from Salisbury University in 2003, with a Bachelor of Science degree in Finance and a Bachelor of Science degree in Business Management. Ms. Magaha has been a Registered Investment Advisor Representative of StratWealth since August 2006.

Ms. Magaha has been a CERTIFIED FINANCIAL PLANNER™ professional since 2006. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s Code of Ethics and Standards of Conduct and to acknowledge CFP Board’s right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.
- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Ms. Magaha has held the designation of Accredited Investment Fiduciary® (AIF®) since October 1, 2007. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. Ms. Magaha serves as a Community Trustee Member to the Trustees for two retirement plans (collectively, “Boards”) sponsored by Wicomico County, the Board of Education of Wicomico County, the City of Salisbury Maryland, and Wor-Wic Community College on the one hand; and the Wicomico County Council and the Board of Education of Wicomico County on the other hand. The Boards engage in investment related activity, limited to overseeing the implementation of

and adherence to the applicable investment policy statements for the two retirement plans. Ms. Magaha is not compensated for her service to the Boards. While the Registrant does not anticipate that this engagement would present any conflicts of interest, Ms. Magaha would excuse herself from voting on or taking any action on behalf of either Board if the anticipated action would conflict with the interests of the Registrant's clients or the Registrant itself. The supervised person is not actively engaged in any other investment-related businesses or occupations.

- B. Licensed Insurance Agent. Ms. Magaha, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Ms. Magaha does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Ms. Magaha that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Ms. Magaha through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Ms. Magaha does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Amanda Campbell

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Amanda Campbell that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Amanda Campbell is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Amanda Campbell was born in 1988. Ms. Campbell graduated from McDaniel College in 2010, with a Bachelor of Arts degree in Business Administration, Economics and Accounting Economics. Ms. Campbell has been an Associate Planner of StratWealth since July 2013 and from July 2010 through July 2013, Ms. Campbell was an Account Representative.

Ms. Campbell has been a CERTIFIED FINANCIAL PLANNER™ professional since 2013. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number

of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s *Code of Ethics and Standards of Conduct* and to acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*. The

Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual's certification status, CFP Board's disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA'S BrokerCheck and the SEC's Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Ms. Campbell has held the designation of Accredited Asset Management Specialist (AAMS®) since December 8, 2011. The AAMS® is awarded by the College for Financial Planning to investment professionals who complete its 12-module AAMS® Professional Education Program, pass an examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the AAMS® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the AAMS® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Ms. Campbell has held the designation of Certified Divorce Financial Analyst® (CDFA®) since April 15, 2014. CDFA® professionals must develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the Institute for Divorce Financial Analysts. CDFA® professionals must have three years minimum experience in a financial or legal capacity prior to earning the right to use the CDFA® certification mark.

Ms. Campbell has held the designation of Accredited Investment Fiduciary® (AIF®) since May 1, 2015. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Ms. Campbell, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Ms. Campbell does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Ms. Campbell that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Ms. Campbell through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Ms. Campbell does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Patricia Achterhof

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Patricia Achterhof that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth's Brochure or if you have any questions about the contents of this supplement.

Additional information about Patricia Achterhof is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Patricia Achterhof was born in 1988. Ms. Achterhof graduated from the University of North Carolina at Wilmington in 2010, with a Bachelor of Fine Arts degree. Ms. Achterhof has been the Director of Qualified Plans of StratWealth since July 2010 and Chief Operating Officer since 2016.

Ms. Achterhof has held the designation of Financial Paraplanner Qualified Professional™ (FPQP™) since 2011. Individuals who hold the FPQP™ designation have completed a course of study encompassing the financial planning process; the five disciplines of financial planning; and general financial planning concepts, terminology, and product categories. Additionally, individuals enrolled in the program, after passing the final exam, must complete a three-month long internship program in which they obtain verification from their immediate supervisor or employer documenting their mastery of the following financial planning-related skills:

- adequate verbal and communication skills;
- ability to use at least one type of financial planning software;

- ability to read and extract information for data gathering purposes from a client's financial documents, including insurance policies (life, medical, disability, property/liability), investment records, tax returns, wills/trusts, retirement, and property holding documents;
- ability to construct personal financial statements, including balance sheets (statement of financial position) and income statements; and
- ability to review and analyze information to identify basic strengths and weaknesses in a client's financial situation relating to risk management issues, investment issues, income tax issues, retirement issues, and general issues (e.g., emergency funds, level of debt, budgeting, saving patterns, net worth fluctuations).

Ms. Achterhof has held the designation of Certified Plan Fiduciary Advisor since 2013. CPFA is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. The CPFA program provides an understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. CPFA is not an entry-level credential. A candidate will be expected to demonstrate a general proficiency of plan administration, compliance, investment, fiduciary, and ethics issues.

Earning ASPPA's CPFA credential requires successful completion of two specific examinations. In addition to passing the required examinations, a candidate must meet one of the following requirements:

- Series 6, 7 or 65 license issued by the FINRA (formerly NASD) and two letters of reference demonstrating at least two years of retirement plan related experience; or
- State-life or annuity insurance license and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Investment Advisor Representative or Registered Investment Advisor credential and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Two letters of reference demonstrating at least three years of retirement plan related experience

All credentialed members must acquire 40 hours of Continuing Education (CE) credits (2 of these must be Ethics) in a two-year cycle as well as renew ASPPA Membership annually to retain credentials.

Ms. Achterhof has held the designation of Accredited Investment Fiduciary® (AIF®) since April 1, 2015. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Achterhof does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Edward von Lange

STRATWEALTH

ADV Part 2B, Brochure Supplement

Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Edward von Lange that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Edward von Lange is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Edward von Lange was born in 1957. Mr. von Lange graduated from Pennsylvania State University with a Bachelor of Arts in Economics degree. Mr. von Lange has been a Registered Investment Advisor Representative of StratWealth since April 2018. From January 2012 through April 2018, Mr. von Lange was a registered representative of Commonwealth Financial Network.

Mr. von Lange has been a CERTIFIED FINANCIAL PLANNER™ professional since 1989. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with

clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s Code of Ethics and Standards of Conduct and to acknowledge CFP Board’s right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual's certification status, CFP Board's disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA'S BrokerCheck and the SEC's Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. von Lange has held the designation of Certified Plan Fiduciary Advisor since 2018. CPFA is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. The CPFA program provides an understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. CPFA is not an entry-level credential. A candidate will be expected to demonstrate a general proficiency of plan administration, compliance, investment, fiduciary, and ethics issues. Earning ASPPA's CPFA credential requires successful completion of two specific examinations. In addition to passing the required examinations, a candidate must meet one of the following requirements:

- Series 6, 7 or 65 license issued by the FINRA (formerly NASD) and two letters of reference demonstrating at least two years of retirement plan related experience; or
- State-life or annuity insurance license and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Investment Advisor Representative or Registered Investment Advisor credential and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Two letters of reference demonstrating at least three years of retirement plan related experience

All credentialed members must acquire 40 hours of Continuing Education (CE) credits (2 of these must be Ethics) in a two-year cycle as well as renew ASPPA Membership annually to retain credentials.

Mr. von Lange has held the designation of Accredited Investment Fiduciary® (AIF®) since June 6, 2018. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education,

relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Mr. von Lange, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. von Lange does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Mr. von Lange that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. von Lange through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. von Lange does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Tatiana Vinogradov

STRATWEALTH

ADV Part 2B, Brochure Supplement

Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Tatiana Vinogradov that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth's Brochure or if you have any questions about the contents of this supplement.

Additional information about Tatiana Vinogradov is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Tatiana Vinogradov was born in 1988. Ms. Vinogradov graduated from Northeastern University with a Bachelor of Science in Finance and Accounting Management degree in 2015. Ms. Vinogradov has been a Nexit Strategist of StratWealth since July 2018. From November 2015 through June 2018, Ms. Vinogradov was a registered representative of AXA Advisors LLC and from December 2010 through October 2015, Ms. Vinogradov was a Personal Lines Account Manager and Manger of Operations with Gene Dvorkin Insurance Agency.

Ms. Vinogradov has been a CERTIFIED FINANCIAL PLANNER™ professional since 2019. Certified Financial Planner Board of Standards, Inc. ("CFP Board") owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the "CFP® marks"). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board's initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct

and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s *Code of Ethics and Standards of Conduct* and to acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*. The

Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Ms. Vinogradov has held the designation of Accredited Investment Fiduciary® (AIF®) since October 18, 2019. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Ms. Vinogradov has held the designation of Certified Divorce Financial Analyst® (CDFA®) since July 24, 2020. CDFA® professionals must develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the Institute for Divorce Financial Analysts. CDFA® professionals must have three years minimum experience in a financial or legal capacity prior to earning the right to use the CDFA® certification mark.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Ms. Vinogradov, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Ms. Vinogradov does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Ms. Vinogradov that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Ms. Vinogradov through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Ms. Vinogradov does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Charles Walker

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Charles Walker that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Charles Walker is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Charles Walker was born in 1964. Mr. Walker graduated from the Roanoke College in 1987, with a Bachelor of Arts Business Administration. Mr. Walker has been a Registered Investment Advisor Representative of StratWealth since February 1, 2019. From September 2016 through February 2019, Mr. Walker was an Advisor with SunTrust Advisory Services. From April 2014 through September 2016, Mr. Walker was a Client Advisor with SunTrust Bank and SunTrust Investment Services.

Mr. Walker has been a CERTIFIED FINANCIAL PLANNER™ professional since 2004. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the

“CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of

the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s Code of Ethics and Standards of Conduct and to acknowledge CFP Board’s right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.
- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. Walker has held the designation of Accredited Investment Fiduciary® (AIF®) since September 17, 2019. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

- B. Licensed Insurance Agent. Mr. Walker, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. Walker does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Mr. Walker that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. Walker through other, non-affiliated insurance agents. The Registrant's Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. Walker does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

Item 1 Cover Page

A.

Erik Szabocsik

STRATWEALTH

ADV Part 2B, Brochure Supplement

Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Erik Szabocsik that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Erik Szabocsik is available on the SEC’s website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Erik Szabocsik was born in 1986. Mr. Szabocsik graduated from the University of Central Florida in 2008, with a Bachelor of Science. Mr. Szabocsik has been the Nexit Strategist of StratWealth since December 16, 2019. From January 2009 through October 2010, Mr. Szabocsik was a registered representative of AXA Advisors LLC and from November 2010 through November 2019, Mr. Szabocsik was an Investor Center Financial Representative and Mass Transfer with Fidelity Investments and Fidelity Personal and WorkPlace Advisors.

Mr. Szabocsik has been a CERTIFIED FINANCIAL PLANNER™ professional since 2014. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number

of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including completion of a financial plan development capstone course, and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial planning engagement and complete a CFP® Certification Application which requires disclosure of an individual’s background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual’s employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board’s *Code of Ethics and Standards of Conduct* and to acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*. The

Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.

- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the terms and conditions of certification with CFP Board and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may verify an individual's CFP® certification and background through the CFP Board. The verification function will allow you to verify an individual’s certification status, CFP Board’s disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through FINRA’S BrokerCheck and the SEC’s Investment Adviser Public Disclosure databases, which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. Licensed Insurance Agent. Mr. Szabocsik, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products from an affiliate. Mr. Szabocsik does not receive a commission for the purchase of any insurance products. Conflict of Interest: The recommendation by Mr. Szabocsik that a client purchase an insurance commission product presents a conflict of interest, as an affiliate may receive commissions. No client is under any obligation to purchase any insurance commission products. Clients are reminded that they may purchase insurance products recommended by Mr. Szabocsik through other, non-affiliated insurance agents. The Registrant’s Chief Compliance Officer, Michael Del Priore, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.

Item 5 Additional Compensation

Mr. Szabocsik does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.



Item 1 Cover Page

A.

Jennifer Satchell

STRATWEALTH

ADV Part 2B, Brochure Supplement
Dated: December 4, 2020

Contact: Michael Del Priore, Chief Compliance Officer
8170 Maple Lawn Blvd., Suite 100
Fulton, Maryland 20759

B.

This Brochure Supplement provides information about Jennifer Satchell that supplements the StratWealth Brochure; you should have received a copy of that Brochure. Please contact Michael Del Priore, Chief Compliance Officer, if you did *not* receive StratWealth's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jennifer Satchell is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Jennifer Satchell was born in 1992. Ms. Satchell graduated from the Perdue School of Business at Salisbury University in December 2013, with a Bachelor of Science degree in Finance. Ms. Satchell has worked for StratWealth since February 2014 where she currently serves as the Director, Next Plan.

Ms. Satchell has held the designation of Financial Paraplanner Qualified Professional™ (FPQP™) since 2014. Individuals who hold the FPQP™ designation have completed a course of study encompassing the financial planning process; the five disciplines of financial planning; and general financial planning concepts, terminology, and product categories. Additionally, individuals enrolled in the program, after passing the final exam, must complete a three-month long internship program in which they obtain verification from their immediate supervisor or employer documenting their mastery of the following financial planning-related skills:

- adequate verbal and communication skills;

- ability to use at least one type of financial planning software;
- ability to read and extract information for data gathering purposes from a client's financial documents, including insurance policies (life, medical, disability, property/liability), investment records, tax returns, wills/trusts, retirement, and property holding documents;
- ability to construct personal financial statements, including balance sheets (statement of financial position) and income statements; and
- ability to review and analyze information to identify basic strengths and weaknesses in a client's financial situation relating to risk management issues, investment issues, income tax issues, retirement issues, and general issues (e.g., emergency funds, level of debt, budgeting, saving patterns, net worth fluctuations).

Ms. Satchell has held the designation of Chartered Financial Consultant professional designation (ChFC®) since April 2020. Candidates for the ChFC® designation must complete a minimum of eight college-level courses and 18 hours of supervised examinations. They must also fulfill stringent experience and ethics requirements and participate in continuing education to maintain professional recertification. Since its inception in 1982, the credential has been widely regarded as a premier standard of knowledge and trust for financial planning professionals.

The ChFC® program prepares professionals to apply advanced skills to address the financial planning needs of individuals, families and small-business owners in a diverse environment. They can help clients identify and establish specific goals and then formulate, implement and monitor a comprehensive plan to pursue those goals. Those who earn a ChFC® can provide knowledgeable advice on a broad range of financial topics including financial planning, wealth accumulation and estate planning, income taxation, life and health insurance, business taxation and planning, investments, and retirement planning with a focus on the practical application of concepts learned.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Satchell does not receive any additional economic benefit from third parties for providing advisory services.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures manual is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Michael Del Priore, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons under the Act. Mr. Del Priore is available at (414) 509-1330.

